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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney D cket N . 003801.P023

(maximum 12 characters)

First Named Inventor Lou Leonardo

Title: METHOD AND SYSTEM FOR DEALING WITH NON-PAYING BIDDERS RELATED TO NETWORK-BASED TRANSACTIONS

Express Mail Label No. EL672752350US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant Claims Small Entity Status. (37 CFR 1.27)
3. ☒ Specification (Total Pages 32)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawings(s) (35 USC 113) (Total Sheets 21)
5. ☐ Oath or Declaration (Total Pages)
 - a. ☐ Newly Executed (Original or Copy)
 - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. ☐ Unsigned.
6. ☐ Application Data Sheet. (37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies



ACCOMPANYING APPLICATION PARTS	
9.	_____ Assignment Papers (cover sheet & documents(s))
10.	_____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
	_____ b. Power of Attorney
11.	_____ English Translation Document (if applicable)
12.	_____ a. Information Disclosure Statement (IDS)/PTO-1449
	_____ b. Copies of IDS Citations
13.	_____ Preliminary Amendment
14.	_____ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.	_____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	_____ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.	<input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail with copy of postcard showing contents of</u> <u>Express Mail package.</u>
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <div style="display: flex; justify-content: space-between; margin-top: 10px;"> _____ Continuation Of Prior Application No.: _____ _____ Divisional Examiner _____ _____ Continuation-in-part (CIP) Group Art Unit _____ </div> <p style="font-size: small; margin-top: 10px;"> (which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____, which is a _____ continuation/ _____ divisional/ _____ CIP of prior application no. _____) (List entire chain of priority) </p> <p style="font-size: small; margin-top: 10px;"> For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. </p>	
18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. _____ Frame No. _____ (or a copy of which is attached).	
19. Correspondence Address <div style="margin-top: 10px;"> _____ Customer Number or Bar Code Label or _____ (Insert Customer No. or Attach Bar Code Label here) </div> <div style="margin-top: 10px;"> <input checked="" type="checkbox"/> Correspondence Address Below </div> <div style="margin-top: 10px;"> NAME <u>Sang Hui Michael Kim</u> <u>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP</u> </div> <div style="margin-top: 10px;"> ADDRESS <u>12400 Wilshire Boulevard</u> <u>Seventh Floor</u> </div> <div style="margin-top: 10px;"> CITY <u>Los Angeles</u> STATE <u>California</u> ZIP CODE <u>90025-1026</u> </div> <div style="margin-top: 10px;"> Country <u>U.S.A.</u> TELEPHONE <u>(408) 720-8300</u> FAX <u>(408) 720-9397</u> </div>	
Name (PRINT/TYPE): <u>Sang Hui Michael Kim</u> Registration N.: <u>40,450</u> Signature: _____ Date: _____	

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FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$)	<u>916.00</u>
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Complete if Known:

Application No. Not yet known

Filing Date Herewith

First Named Inventor Lou Leonardo

Group Art Unit Not yet known

Examiner Name Not yet known

Attorney Docket No. 003801.P023

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

- [] Applicant claims small entity status. See 37 CFR 1.27**

2. X Payment Enclosed: X Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

2. <u>EXTRA CLAIM FEES</u>			<u>Fee from below</u>	<u>Fee Paid</u>
		<u>Extra Claims</u>		
Total Claims	<u>27</u>	- 20** = <u>7</u>	X <u>18.00</u>	= <u>126.00</u>
Independent Claims	<u>4</u>	- 3** = <u>1</u>	X <u>80.00</u>	= <u>80.00</u>
Multiple Dependent				=

****Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**R issue independent claims over original patent
110	18	210	9	**R issue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 206.00

JC921 U.S. PRO
 09/717433
 11/20/00

3. ADDITIONAL FEES

SUBTOTAL (3) \$_____

SUBMITTED BY:

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

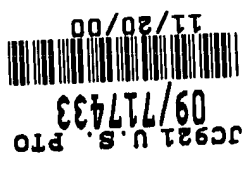
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Date of Deposit: 11/20/00
I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service on "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

JUANITA BLUM
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

11/20/00
(Date signed)



Serial/Patent No.: ***** Filing/Issue Date: 11/20/00
Client: FRAX
Title: METHOD AND SYSTEM FOR DEALING WITH NON-PAYING BIDDERS RELATED TO NETWORK-BASED TRANSACTIONS

BSTZ File No.: 003801.2023 Atty/Sec'y Initials: ALM/MSK/jb
Date Mailed: 11/20/00 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereto:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ☒ Application - Utility (32 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- ☐ Application - Design (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ☐ Assignment and Cover Sheet
- ☐ Certificate of Mailing
- ☐ Declaration & POA (____ pgs.)
- ☐ Database Desc & Obj & Copy of Invention Signed Later (____ pgs.)
- ☒ Drawings: 21 # of sheets includes 23 figures
- ☐ Express Mail MAIL 672752350US ☒ Check No. 9116 ☐ Check No. _____
- ☐ Extension of Time ☐ Month(s) _____ ☐ Extension of Time _____
- ☐ International Preliminary Report on Patentability (IPRP) (____ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☐ Postcard
- ☐ Power of Attorney (____ pgs.)
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate (2x2 pgs.)
- ☒ Fee Transmittal, in duplicate (2x2 pgs.)

☐ Other: _____